



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/710,811
		Filing Date	November 8, 2000
		First Named Inventor	Janet M. Wasowicz
		Group Art Unit	3714
		Examiner Name	John Sotomayor
Total Number of Pages in This Submission		Attorney Docket Number	2100632-991141

<input checked="" type="checkbox"/> Fee Transmittal Form (1 pg.) <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment with Appendix A attached (13 pgs.) After Final Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request (1 pg.) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement w/Information Disclosure Citation (4 pgs.) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <small>(for an Application)</small> <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Associate Power of Attorney. <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication in Grays <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) <small>(please identify below):</small> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">           Part B – Issue Fee Transmittal (1pg);            Transmittal of Formal Drawings (1 pg);            Sixteen (16) pages formal drawings;            Check # 556351 for \$1,360.00;            Certificate of First Class Mailing (1 pg);            Return Postcard         </div>
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Timothy W. Lohse (Reg. No. 35,255) Gray Cary Ware Freidenrich LLP
Signature	
Date	March 24, 2004

## CERTIFICATE OF MAILING

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Typed or printed name	Cathy Pittman
Signature	
Date	March 24, 2003

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**FEE TRANSMITTAL  
for FY 2004**

**Effective 10/01/2003. Patent fees are subject to annual revision.**

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 1,360.00)

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Complete If Known	
Application Number	09/710,611
Filing Date	November 8, 2000
First Named Inventor	Janet Wasowicz et al.
Examiner Name	J. Sotomayor
Art Unit	3714
Attorney Docket No.	2100632-991141

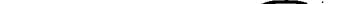
**METHOD OF PAYMENT** (check all that apply)

## **FEE CALCULATION (continued)**

<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
<input type="checkbox"/> Deposit Account:				
Deposit Account Number		07-1896		
Deposit Account Name		Gray Cary Ware & Freidenrich LLP		
The Director is authorized to: (check all that apply)				
<input type="checkbox"/> Charge fee(s) indicated below		<input checked="" type="checkbox"/> Credit any overpayments		
<input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s)				
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				
<b>FEE CALCULATION</b>				
<b>1. BASIC FILING FEE</b>				
Large Entity		Small Entity		
Fee	Fee	Fee Description	Fee Paid	
Code (\$)	Code (\$)			
1001 770	2001 385	Utility filing fee		
1002 340	2002 170	Design filing fee		
1003 530	2003 265	Plant filing fee		
1004 770	2004 385	Reissue filing fee		
1005 160	2005 80	Provisional filing fee		
<b>SUBTOTAL(1) (\$)</b>		<b>0.00</b>		
<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b>				
Total Claims		Extra Claims	Fee from below	Fee Paid
Independent Claims		<input type="checkbox"/>	<input type="checkbox"/> X <input type="checkbox"/>	<input type="checkbox"/> = <input type="checkbox"/>
Multiple Dependent		<input type="checkbox"/>	<input type="checkbox"/> X <input type="checkbox"/>	<input type="checkbox"/> = <input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/> X <input type="checkbox"/>	<input type="checkbox"/> = <input type="checkbox"/>
Large Entity		Small Entity		
Fee	Fee	Fee Description		
Code (\$)	Code (\$)			
1202 18	2202 9	Claims in excess of 20		
1201 86	2201 43	Independent claims in excess of 3		
1203 290	2203 145	Multiple dependent claim, if not paid		
1204 86	2204 43	** Reissue independent claims over original patent		
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent		
<b>SUBTOTAL (2) (\$)</b>		<b>0.00</b>		
** or number previously paid, if greater; For Reissues, see above				
<b>3. ADDITIONAL FEES</b>				
Large Entity		Small Entity		
Fee	Fee	Fee	Fee Description	
Code (\$)	Code (\$)	Code (\$)		
1051 130	2051 65	65	Surcharge - late filing fee or oath	
1052 50	2052 25	25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	130	Non-English specification	
1812 2,520	1812 2,520	2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	55	Extension for reply within first month	
1252 420	2252 210	210	Extension for reply within second month	
1253 950	2253 475	475	Extension for reply within third month	
1254 1,480	2254 740	740	Extension for reply within fourth month	
1255 2,010	2255 1,005	1,005	Extension for reply within fifth month	
1401 330	2401 165	165	Notice of Appeal	
1402 330	2402 165	165	Filing a brief in support of an appeal	
1403 290	2403 145	145	Request for oral hearing	
1451 1,510	1451 1,510	1,510	Petition to institute a public use proceeding	
1452 110	2452 55	55	Petition to revive - unavoidable	
1453 1,330	2453 665	665	Petition to revive - unintentional	
1501 1,330	2501 665	665	Utility issue fee (or reissue)	
1502 480	2502 240*	240*	Design issue fee	
1503 640	2503 320	320	Plant issue fee	
1460 130	1460 130	130	Petitions to the Commissioner	
1807 50	1807 50	50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	180	Submission of Information Disclosure Stmt	
6021 40	6021 40	40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	385	Request for Continued Examination (RCE)	
1802 900	1802 900	900	Request for expedited examination of a design application	
Other fee (specify) Advance patent copies				
<b>Fee Paid</b>				
<b>SUBTOTAL (3) (\$)</b>				
<b>1360.00</b>				

*\*or number previously paid, if greater; For Reissues, see above*

**(Complete if applicable)**

SUBMITTED BY		(Complete if applicable)		
Name (Print/Type)	Timothy W. Lohse	Registration No. (Attorney/Agent)	35,255	Telephone 650-833-2055
Signature			Date	March 24, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments or suggestions on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DATE OF DEPOSIT: March 24, 2004

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Cathy Pittman  
Cathy Pittman

\* \* \*

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

MAIL STOP ISSUE FEE  
ASSISTANT COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) for the Application:

U.S. Patent Application S/N: 09/710,611 for  
**READING AND SPELLING SKILL DIAGNOSIS AND  
TRAINING SYSTEM AND METHOD**

FILED: November 8, 2000  
INVENTOR(s): Janet M. Wasowicz  
EXAMINER: John Sotomayor  
ART UNIT: 3714

Enclosed are:

- [1] Transmittal Form;
- [1] Part B – Issue Fee Transmittal;
- [1] Transmittal of Formal Drawings;
- [66] Sixty-six sheets formal drawings;
- [1] Fee Transmittal FY 2004;
- [1] Check #56125 for \$1,360.00
- [1] Certificate of First Class Mailing; and
- [1] Return Postcard

16am 15  
B KAD

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Janet M. Wasowicz

Serial No.: 09/710,611

Group Art Unit: 3814

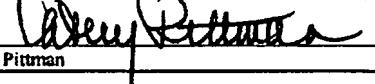
Filed: November 8, 2000

Examiner: John Sotomayor

Title: READING AND SPELLING SKILL DIAGNOSIS AND TRAINING SYSTEM AND METHOD

**CERTIFICATE OF MAILING VIA FIRST CLASS MAIL**

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Cathy Pittman

\* \* \*

**TRANSMITTAL OF FORMAL DRAWINGS**

Commissioner for Patents  
**MAIL STOP ISSUE FEE**  
P.O. Box 1450, Alexandria, VA 22313-1450

Dear Sir:

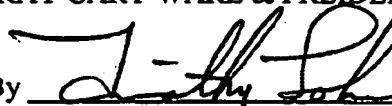
Enclosed are sixty-six (66) sheets of Formal Drawings for the above-referenced patent application.

Please address all communications regarding this application to:

Timothy W. Lohse  
GRAY CARY WARE & FREIDENRICH LLP  
2000 University Avenue  
East Palo Alto, CA 94303  
(650)833-2055 (Telephone)  
(650)833-2001 (Facsimile)

Dated March 24, 2004

GRAY CARY WARE &amp; FREIDENRICH LLP

By 

Timothy W. Lohse, Reg. No. 35,255

GRAY CARY WARE & FREIDENRICH  
2000 University Avenue  
East Palo Alto, CA 94303  
Attorneys for Applicant

The PTO did not receive the following  
listed item(s) Transmitting 211-66 but  
Received 1-40